

5 Healthcare Compliance Pitfalls & Tips for Providers

In the fast pace of daily operations and focusing on patients, it's easy for compliance gaps to go unnoticed until they lead to penalties, recoupments, or delays in care. Unfortunately, even small oversights can have major consequences, sometimes far into the future. Empower supports agencies across the country in staying ahead of the curve and we've identified five high-risk areas that often get missed during the year-end rush that you can start addressing now.



Incomplete or Inaccurate Documentation

Vague or incomplete documentation continues to be one of the most cited issues in audits. Inconsistent visit logs, missing signatures, and poorly linked care to medical necessity can result in delayed payments or denied claims.



Outdated Policies and Procedures

Surveyors expect your policies to reflect current CMS regulations, infection control updates, and accreditation standards. If your team is referencing procedures written last year, or earlier, you may be operating with unseen gaps. Schedule a policy review and align with the most current standards.



Inadequate Staff Training

From onboarding to annual education, staff readiness directly impacts survey performance. Many providers assume training is complete until a surveyor asks for proof. Verify that all required staff education records are complete, current, and supported by a reliable process to maintain ongoing compliance.



Missed Reporting & Renewal Deadlines

From license renewals to cost reports, key deadlines are often scattered across departments, leading to confusion and delays. A missed deadline can trigger late penalties or even halt operations.



No Preparation for Audits or Surveys

Payers are ramping up reviews, and unannounced surveys are more common than ever. If your team is scrambling when an audit hits, it's already too late. Run mock surveys and prepare your team now so you're ready when it matters most.

Ready to Pinpoint Your Compliance Priorities?

Visit our website or give us a call to schedule a quick risk management discussion and see how Empower can support your team's specific compliance needs.